EXPRESS MAIL NO. EL375784614US



211A 2828-PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
MINORU TAKEBE et al.)
International Application No. PCT/JP98/03719) ATTN: BOX PCT
Serial No. 09/284,935)
Filed: April 21, 1999	j
For: BENEFICIAL MICROORGANISM PROPAGATION-	j j
PROMOTING MATERIAL AND A PROCESS FOR	j j
PREPARING THE SAME	ý
	í
	- /

LETTER

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

Dear Sir:

In regards to the above-identified application which was entered into the U.S.

National Stage without the Declaration of the inventors, a notification pursuant to 37 CFR 1.494(c) has not yet been issued or sent to the undersigned. However, pursuant to the instructions given to the undersigned by the PCT Help Desk, enclosed herewith please find an executed Declaration & Power of Attorney form and a check in the sum of \$130.00 as the late filing fee so that the requirements for this application can be met. Still further, enclosed herewith pleased find an Assignment, Recordation Form Cover Sheet and a check in the sum of \$40.00 as the recordation fee.

If any further fees are required by the acceptance of the enclosed forms, please charge those fees of KODA & ANDROLIA DEPOSIT ACCOUNT NO. 11-1445.

Respectfully submitted,

KODA & ANDROLIA

10100 Santa Monica Boulevard Suite 2340 Los Angeles, CA 90067

Tel: (310) 277-1391 Fax: (310) 277-4118 William L. Androlia

Reg. No. 27,177

1	Patent and Trademark Office		
	Address: ASSISTANT COMMISSIONER FOR PATENTS		
	Box PCT		
	Washington, D.C. 20231		

FIRST NAMED APPLICANT

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U.	S. API	LICA1	TON NO.				

ATTY. DOCKET NO.

09/284,935	TAKEBE	М	211A 2828	PC
<i>22,</i> 22 .,		INTERNATIONAL APPL	ICATION NO.	
WORD & ANDROL TA	RECEIVE	PCT/JF	98/03719	
KODA & ANDROLIA 10100 SANTA MONIC	A BOULEVARD	I.A. FILING DATE	PRIORITY DATE	
SUITE 2340 LOS ANGELES CA 90	MAY 2 7 1990	08/21/98	08/21/	97
	KODA & AND	DATE MADEEN		

DEAL.		PCT/JF	98/03719
KODA & ANDROLIA	VED	I.A. FILING DATE	PRIORITY DATE
10100 SANTA MONICA BOULEVARD			
10100 SANTA MONICA BOULEVARD SUITE 2340 MAY 2 ? LOS ANGELES CA 90067 KODA & AND NOTIFICATION OF MISSING REQUIREMENTS	1999	08/21/98	08/21/97
KODA & AND	ROLLA DATEM	AILED:	05/24/99
NOTIFICATION OF MISSING REQUIREMENTS	UNDER 35 L	J.S.C. 371 IN	
STATES DESIGNATED/ELECTE			
. The following items have been submitted by the applicant or the			Trademark
Office as \(\omega \) a Designated Office (37 CFR 1.494),			•
☐ an Elected Office (37 CFR 1.495): ☑ U.S. Basic National Fee.			
Copy of the international application in:	•		
□ a non-English language. □ English.			
☐ Translation of the international application into English.			•
Oath or Declaration of inventors(s) for DO/EO/US.			
Copy of Article 19 amendments.			•
☐ Translation of Article 19 amendments into English. ☐ The International Preliminary Examination Report in English	and its Annexe	s. if anv.	
Translation of Annexes to the International Preliminary Examination	mination Report	into English.	
Preliminary amendment(s) filed 2! April 1999 and	l	<u> </u>	
☐ Information Disclosure Statement(s) filed	and	•	
Assignment document. Power of Attorney and/or Change of Address.			
Substitute specification filed			
Statement Claiming Small Entity Status.			
Priority Document.		id shaarin	•
☑ Copy of the International Search Report ☐ and copies of th☐ Other:	ie references cité	su merem.	
2. The following items MUST be furnished within the period set for	orth below in or	der to complete the	requirements for
acceptance under 35 U.S.C. 371:			
a. Translation of the application into English. Note a proce later than the appropriate 20 or 30 months from the prior		required if submit	ted
The current translation is defective for the reasons	indicated on the	attached Notice of	Defective
Translation.			•
b. Processing fee for providing the translation of the applica appropriate 20 or 30 months from the priority date (37 C	tion and/or the	Annexes later that t	the
 appropriate 20 of 30 months from the priority date (37 c ∑ c. Oath or declaration of the inventors, in compliance with 	37 CFR 1.497(a)	and (b), identifying	ng the application
by the International application number and international	filing date.		
The current oath or declaration does not comply w on the attached PCT/DO/EO/917.	ith 37 CFR 1.49	77(a) and (b) for the	e reasons indicated
d. Surcharge for providing the oath or declaration later that priority date (37 CFR 1.492(e)).	the appropriate	20 or 30 months fr	rom the
3. Additional claim fees of \$ as a ☐ large entity ☐			
dependent claim fee, are required. Applicant must submit the additional to the submit the additional to the submit the su	tional claim fees	or cancel the addit	tional claims for
which fees are due (37 CFR 1.492(g)). See attached PTO-875.			
ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOV	E MUST BE SI	UBMITTED WITI	HIN ONE
MONTH FROM THE DATE OF THIS NOTICE OR BY ≥ 21			
DATE FOR THE APPLICATION, WHICHEVER IS LATER. RESULT IN ABANDONMENT.	FAILURE TO	PROPERLY RES	POND WILL
To be a training		•	
The time period set above may be extended by filing a petition and		n of time under the	provisions of 37
GFR 1.195(1)	i i i i i i i i i i i i i i i i i i i		
4 Translation of the Annexes MUST be submitted no later that th			
cancelled. Note processing fee will be required if submitted later t			
 The Article 19 amendments are cancelled since a translation 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date. 	-	oy the appropriate	: 20 (37 CFR
Applicant is reminded that any communication to the United States address given in the heading and include the U.S. application no. s			oe mailed to the
and melantic meaning and melante die 0.5. application iio. \$	uonu aoove. (37	C1 K 1.0)	•
A copy of this notice MUST be re	eturned v	vith this re	sponse.
Enclosed: PCT/DO/EO/917 Notice of Defective Tran			-F - ::50:
☐ PTO-875		hnied.	-

FORM PCT/DO/EO/905 (December 1997)

Telephone: (703) 3 05 - 3659.



BOX PCT

IN THE UNITED STATES PATENT AND TRADEM

LETTER

Application of: MINORU TAKEBE et al.

Washington, D.C. 20231

Serial No. 09/284,935 Filed: April 21, 1999

For: BENEFICIAL MICROORGANISM PROPAGATION-PROMOTING MATERIAL AND A PROCESS FOR

PREPARING THE SAME

Hon. Commissioner of Patents and Trademarks

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents and Trademarks,

Washington, D.C. 20231 on

Dear Sir:

the Patent Office.

In response to the Notification of Missing Requirements Under 35 USC 371 dated May 24, 1999, Applicant respectfully submits that a communication was already sent to the Patent Office on May 3, 1999 via United States Express Mail which included the Declaration & Power of Attorney and the \$130.00 surcharge for the late filing thereof. A copy of the entire communication, which also included an Assignment, Recordation Cover Sheet and Mailing Certificate, is enclosed herewith along with a copy of the returned postcard indicating receipt at

Applicant therefore respectfully submits that no further documents or fees are required from Applicant at this time. If, however, further fees are required, please charge those fees to KODA & ANDROLIA DEPOSIT ACCOUNT NO. 11-1445.

Respectfully submitted,

KODA & ANDROLIA

10100 Santa Monica Boulevard **Suite 2340** Los Angeles, CA 90067 (310) 277-1391 (310) 277-4118 -fax WLA/kaq

William L. Androlia

Reg. No. 27,177





<u>UNITED STATES PATENT AND TRADEMARK OFFICE</u>

In re A	Application of:)	
MINO	RU TAKEBE et al.	ý	Group Art Unit: 1761
Serial 1	No. 09/284,935	Ś	
Filed:	April 21, 1999	Ś	
For:	BENEFICIAL MICROORGANISM PROPAGATION-	ý	
	PROMOTING MATERIAL AND A PROCESS FOR)	
	PREPARING THE SAME	ĺ	•
)	

LETTER

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Dear Sir:

In connection with the above-identified application, upon review of the Notification of Acceptance of Application Under 35 U.S.C. 371 and 37 CFR 1.494 or 1.495, a copy of which is enclosed, it was found that it contained errors. Instead of "35 U.S.C. 102(e) Date: 01 June 99," and "Date of Receipt of 35 U.S.C. 371 Requirements: 01 June 99," the correct dates should be --35 U.S.C. 102(e) DATE: 03 May 1999-- and --DATE OF RECEIPT OF 35 U.S.C. 371 REQUIREMENTS: 03 May 1999 --. Also enclosed for your reference are copies of a letter, a check for \$130.00, and a returned postcard dated May 3, 1999, and a letter and returned postcard stamped by the Patent Office dated May 27, 1999.

It is therefore respectfully requested that the Patent Office correct its records and issue a Corrected Notification of Acceptance of Application Under 35 U.S.C. 371 and 37 CFR 1.494 or 1.495 which reflects the above information.

Respectfully submitted,

KODA & ANDROLIA

10100 Santa Monica Boulevard

Suite 2340

Los Angeles, CA 90067

(310) 277-1391

Certificate of Mailing

William L. Androliá

I hereby certify that this correspondence is being Reg. No. 27,177 deposited with the United States Postal Service with

sufficient postag as first class mail in an envelope addr ssed to: Commissioner for Patents and Trademarks, Washington, D.C. 20231 on

(date of deposit)

Signature

d of <u>printed name</u> of person signing





211A 2828 PCT

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
MINORU TAKEBE et al.
Serial No. 09/284,935
Filed: May 3 1999

For: BENEFICIAL MICROORGANISM PROPAGATION-

PROMOTING MATERIAL AND A PROCESS FOR

PREPARING THE SAME

Attention: Application Processing Division's **Customer Correction Branch**

LETTER

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

Dear Sir:

In connection with the above-identified application, upon review of the Filing Receipt, a copy of which is enclosed, it was found that it contained errors. Instead of "Filing Date: 06/01/99," the correct Filing Date should be -- FILING DATE: 05/03/99-- as indicated in the enclosed copy of a letter dated August 10, 1999; instead of "Fil Fee Rec'd: \$1,100.00," the correct amount of the Filing Fee Received should be --FIL FEE REC'D: \$1,230.00-- which includes the filing fee as filed on May 3, 1999 for \$1,100.00 and the late filing fee of \$130.00 filed on May 27, 1999; and instead of "Growth Promoting Material for useful Microorganisms and Process for Producing the Same," the correct Title of Invention should be --BENEFICIAL MICROORGANISM PROPAGATION-PROMOTING MATERIAL AND A PROCESS FOR PREPARING THE SAME --. Also enclosed for your reference are copies of a letter dated May 27, 1999, a returned postcard stamped by the Patent Office, a letter and a returned postcard stamped by the Patent Office dated May 3, 1999, and a PCT Application Transmittal Form.

It is therefore respectfully requested that the Patent Office correct its records and issue a Corrected Filing Receipt which reflects the above information.

Respectfully submitted,

William L. Androlia

Reg. No. 27,177

KODA & ANDROLIA

10100 Santa Monica Boulevard

Suite 2340

Certificate f Mailing

(310) 277-1391

Los Angeles, CA 9006 hereby certify that this correspondence is being the Los Angeles, CA 9006 the posited with the United States P stall Service with sufficient post g as first class m il in an envelope

addressed to: Commissioner for Patents and Trademarks. Washington, D.C. 20231 on .

diname if person signing

Signatur

HUNG RECEPT

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UNITED STATES DE A TMENT OF COMMERCE Patent and Trademark Office ASSISTANT SÉCRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER FILING BATE GRP ART UNIT FIL FEE REC'D ATTORNEY DOCKET NO. DRWGS TOT CL IND CL

09/284,935

06/01/99

1761

\$1,100.00 211A-2828-PC

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05/03/99

\$1,230.00

RECEIVED

KODA & ANDROLIA 10100 SANTA MONICA BOULEVARD SUITE 2340 LOS ANGELES CA 90067

JUN 1 4 1999

KODA & ANDROLIA

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

MINORU TAREBE, TORYO, JAPAN; MASAKAZU MORIMOTO, TORYO, JAPAN.

CONTINUING DATA AS CLAIMED BY APPLICANT-THIS APPLN IS A 371 OF PCT/JP98/03719 08/21/98

FOREIGN APPLICATIONS-

JAPAN

225296/1997

08/21/97

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 06/11/99 TITLE
GROWTH PROMOTING MATERIAL FOR USEFUL MICROORGANISMS AND PROCESS FOR PRODUCING THE SAME

PRELIMINARY CLASS: 426

BENEFICIAL MICROORGANISM PROPAGATION-PROMOTING MATERIAL AND A PROCESS FOR PREPARING THE SAME

DATA ENTRY BY: NGUYEN, SON

TEAM: 11 DATE: 06/11/99



STATES PATENT AND TRADEMARK OFFICE

In re application of:

MINORU TAKEBE

Serial No: 09/284,935

Filed: May 3, 1999

For: BENEFICIAL MICROORGANISM

> PROPAGATION-PROMOTING MATERIAL AND A PROCESS FOR

PREPARING THE SAME

1651 Art Unit:

Examiner: V. Afremova

211A 2828 PCT-CPA

REQUEST FOR CORRECTED NOTIFICATION

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Attached is a copy of the corrected official filing receipt received from the U.S. Patent Office in the above application for which issuance of a corrected filing receipt is respectfully requested. In particular, the filing date and the title are incorrect. The correct filing date of the present application is May 3, 1999 and the correct title is BENEFICIAL MICROORGANISM PROPAGATION-PROMOTING MATERIAL AND A PROCESS FOR PREPARING THE SAME. Enclosed for your information are copies of two previous correspondences dated August 10, 1999 in which Applicant requested a corrected filing date and the title.

It is therefore respectfully requested that the Patent Office correct its records and issue a corrected filing receipt which reflects the above information.

> Respectfully submitted, **KODA & ANDROLIA**

> > William L. Androlia Reg. No. 27,177

2029 Century Park East **Suite 3850**

Los Angeles, CA 90067-3024

Tel: Fax: (310) 277-1391

(310) 277-4118

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Assistant Commissioner for Patents

Washington D.C. 20231, n October 23, 2001

Date of Deposit

William L. Androlia Name

10/23/2001 Signature Date



United States P RADEMARK OFFICE

1651

COMMISSIONER FOR PATENTS United States Patent and Trademark Office WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER FILING DATE FIL FEE REC'D ATTY.DOCKET.NO GRP ART UNIT DRAWINGS TOT CLAIMS IND CLAIMS 1230

-06/01/1999 [©] 09/284.935 05/03/1999 211A-2828-PC

12

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CONFIRMATION NO. 3005

CORRECTED FILING RECEIPT

OC000000006495216

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KODA & ANDROLIA 10100 SANTA MONICA BOULEVARD **SUITE 2340** LOS ANGELES, CA 90067

SEP - 6 2001

RECEIVED

KODA & ANDROLIA

Date Mailed: 08/30/2001

Receipt is acknowledged of a CPA in this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER. FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

MINORU TAKEBE, TOKYO, JAPAN; MASAKAZU MORIMOTO, TOKYO, JAPAN;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP98/03719 08/21/1998

Foreign Applications

JAPAN 225296/1997 08/21/1997

If Required, Foreign Filing License Granted 08/29/2001

CPA filed on: 04/17/2001

Pr jected Publication Date: 12/06/2001

Non-Publication Request: No

Early Publication Request: No

Title

GROWTH PROMOTING MATERIAL FOR USEFUL MICROORGANISMS AND PROCESS EQR & PRODUCING THE SAME

BENEFICIAL MICROORGANISM PROPAGATION-PROMOTING MATERIAL AND A PROCESS FOR PREPARING THE SAME



211A 2828 PCT

In re A	application of:)	
MINO	RU TAKEBE et al.	j (Group Art Unit: 1761
Serial 1	No. 09/284,935	Ś	-,, -, -, -, -, -, -, -, -, -, -, -,
Filed:	April 21, 1999	í	
For:	BENEFICIAL MICROORGANISM PROPAGATION-	í	
	PROMOTING MATERIAL AND A PROCESS FOR	,	
	PREPARING THE SAME	í	•
		Ś	

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KODA & ANDROLIA

10100 Santa Monica Boulevard

Suite 2340

Los Angeles, CA 90067

(310) 277-1391

Certificate of Mailing

William L. Androliá

Thereby certify that this corr spondence is being Reg. No. 27,177

deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed t : Commissioner for Patents and Trademarks, Washington, D.C. 20231 on.

f person signing this certificate

211A 2828 PCT

In re Application of: MINORU TAKEBE et al. Serial No. 09/284,935

Filed: May 3, 1999 For:

BENEFICIAL MICROORGANISM PROPAGATION-PROMOTING MATERIAL AND A PROCESS FOR

PREPARING THE SAME

Attention: Application Processing Division's

Customer Correction Branch

LETTER

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10100 Santa Monica Boulevard

Suite 2340

Certificate of Mailing

William L. Androlia Reg. No. 27,177

(310) 277-1391

Los Angeles, CA 9006 hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed t : Commissioner for Patents and Trademarks,

Washington, D.C. 20231 on. Idate of deposit)

DROLIN-Typed or printed frame of person signing this confirmate 0-103X v. 8-95)

HUNG RECEPT



UNITED STATES DEF. .TMENT OF COMMERCE PATENT AND TRADEMARKS
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL | 09/284,935 | 06/01/99 | 1761 | \$1,100.00 | 211A-2828-PC | 2 | 12 | 3

05/03/99

\$1,230.00

RECEIVED

KODA & ANDROLIA 10100 SANTA MONICA BOULEVARD SUITE 2340 LOS ANGELES CA 90067

JUN 1 4 1999

KODA & ANDROLIA

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Applicant(s)

MINORU TARBBE, TOKYO, JAPAN; MASAKAZU MORIMOTO, TOKYO, JAPAN.

CONTINUING DATA AS CLAIMED BY APPLICANT-THIS APPLN IS A 371 OF PCT/JP98/03719 08/21/98

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08/21/97

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 06/11/99 TITLE GROWTH PROMOTING MATERIAL FOR USEFUL MICROORGANISMS AND PROCESS FOR PRODUCING THE SAME

PŘĚLÍMINAŘÝ CLÁŠS: 426

BENEFICIAL MICROORGANISM PROPAGATION-PROMOTING MATERIAL AND A PROCESS FOR PREPARING THE SAME

DATA ENTRY BY: NGUYEN, SON

TEAM: 11 DATE: 06/11/99

COPY



616 Rec'd PCT/PTO



211A 2828 PCT NAKAO & ITO

Serial No. 09/284,935

Inventors: MINROU TAKEBE et al.

For: BENEFICIAL MICROORGANISM PROPAGATION-

PROMOTING MATERIAL AND A PROCESS FOR

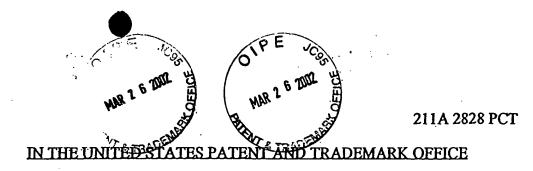
PREPARING THE SAME

LETTER WITH MAILING CERTIFICATE, NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 USC 371, COPY OF ENTIRE COMMUNICATION TO THE BATENT OFFICE DATED 05/03/1999 INCLUDING RETURNED POSTCARD.

Sent to PO: May 27, 1999

JUN 04 1999

KODA & ANDROLIA



In re Application of:
MINORU TAKEBE et al.
Serial No. 09/284,935
Filed: April 21, 1999
For: BENEFICIAL MICROORGANISM PRO

For: BENEFICIAL MICROORGANISM PROPAGATION-PROMOTING MATERIAL AND A PROCESS FOR

PREPARING THE SAME

BOX PCT

LETTER

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

Dear Sir:

Typed or printed name of person signing this certificate
Signature

Dated

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Respectfully submitted,

KODA & ANDROLIA

10100 Santa Monica Boulevard Suite 2340 Los Angeles, CA 90067 (310) 277-1391 (310) 277-4118 -fax WLA/kaq

William L. Androlia

Reg. No. 27,177

COPY

211A 2828 PCT NAKAO & ITO

Inventors: MINORU TAKEBE and MASAKAZU MORIMOTO

Serial No. 09/284,935

For: BENEFICIAL MICROORGANISM PROPAGATION-PROMOTING

MATERIAL AND A PROCESS FOR PREPARING THE SAME

LETTER, MAILING CERTIFICATE, DECLARATION OF PWR OF ATTORNEY, ASSIGNMENT, RECORDATION FORM COVERVENCET, AND CHECKS FOR \$130.00 AND \$40.00.

MAY 1 1 1999

Sent to PO: May 3, 1999

KODA & ANDROLIA

418 Rec'd PCT/PTO G 3 MAY 1999

I hereby certify that this correspondence is being deposited with the United States Postal

Service as Express Mail No. EL375784614US addressed to:

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

on May 3, 1999 by Kimberly A. Quinlan

Dated: May 3, 1999

WIR 2 6 MM 2 MM 2

211A 2828 PCT

NAKAO & ITO

Inventors:

MINORU TAKEBE and MASAKAZU MORIMOTO

Internat'l App. No.

PCT/JP98/03719

For:

BENEFICIAL MICROORGANISM PROPAGATION-PROMOTING

MATERIAL AND A PROCESS FOR PREPARING THE SAME

EXPRESS MAIL NO. EL375784614US

211A 2828-PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MINORU TAKEBE et al. International Application No. PCT/JP98/03719	
International Application No. PCT/JP98/03719	
Serial No. 09/284,935	ATTN: BOX PCT
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For: BENEFICIAL MICROORGANISM PROPAGATION-	
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LETTER

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Dear Sir:

In regards to the above-identified application which was entered into the U.S.

National Stage without the Declaration of the inventors, a notification pursuant to 37 CFR 1.494(c) has not yet been issued or sent to the undersigned. However, pursuant to the instructions given to the undersigned by the PCT Help Desk, enclosed herewith please find an executed Declaration & Power of Attorney form and a check in the sum of \$130.00 as the late filing fee so that the requirements for this application can be met. Still further, enclosed herewith pleased find an Assignment, Recordation Form Cover Sheet and a check in the sum of \$40.00 as the recordation fee.

If any further fees are required by the acceptance of the enclosed forms, please charge those fees of KODA & ANDROLIA DEPOSIT ACCOUNT NO. 11-1445.

Respectfully submitted,

KODA & ANDROLIA

10100 Santa Monica Boulevard Suite 2340 Los Angeles, CA 90067

Tel: (310) 277-1391 Fax: (310) 277-4118 William L. Androlia Reg. No. 27,177

FORM PTO-1595 (Rev. 6-93) OMB No. 0651-0011 (exp. 4/94)

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

▼____

211A 2828 PCT

Tab settings □ □ □ ▼

PATENTS ONLY

Tule nototable continussioner of Patents and Trademants:	Mease record the attached original documents or copy thereof.		
1. Name of conveying party(ies): MINORU TAKEBE and MASAKAZU MORIMOTO	2. Name and address of receiving party(ies) Name: NICHIMO CO., LTD.		
Additional name(s) of conveying party(ies) attached? Yes No	Internal Address:		
3. Nature of conveyance:			
☐ Merger Merger Mars 1	Street Address: 6-2, Otemachi 2-chome,		
☐ Security Agreement ☐ Change of Name	Chiyoda-ku, Tokyo 100-0004, Japan		
O Other	City: State: ZIP:		
Execution Date: April 22, 1999	Additional name(s) & address(es) attached? 디 Yes 전 No		
4. Application number(s) or patent number(s):			
If this document is being filed together with a new application	on, the execution date of the application is:		
A. Patent Application No.(s)	B. Patent No.(s)		
09/284,935			
Additional numbers at	Itached? □ Yes ௴No		
Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1		
Name: KODA & ANDROLIA	7. Total fee (37 CFR 3.41)\$ 40.00		
Internal Address:	X) Enclosed		
	☐ Authorized to be charged to deposit account		
Street Address: 10100 Santa Monica Blvd.,	8. Deposit account number:		
Suite 2340	11-1445		
City: Los Angeles State: CA ZIP: 90067	(Attach duplicate copy of this page if paying by deposit account)		
DO NOT US	E THIS SPACE		
William L. Androlia Reg. No. 27,177 Nam of Person Signing	ation is true and correct and any attached copy is a true copy of 05/03/1999 Signature Date		
Total number of pages including cover sheet, attachments, and document:			

KODA & ANDROLIA ATTORNEY'S DOCKET NO.

Assignment

211A 2828 PCT

	(1)MINORU TAKEBE
	(2) MASAKAZU MORIMOTO
	(3)
(1-4) insert Name(s) of	(4) and
Inventor(s)	In consideration of the sum of one dollar (\$1.00) and other good and valuable considerations paid to each of the undersigned, the undersigned agree(s) to assign and hereby does assign, transfer and set over to
(5) Insert <u>name</u> of Assignee	(5)NICHIMO CO., LTD.
(6) Insert <u>address</u> of Assignee	(6) of6-2, Otemachi 2-chome
	Chiyoda-ku, Tokyo 100-0004, Japan
	(hereinafter designated as the Assignee) the entire right, title and interest for the United States, its territories, dependencies and possessions, in the invention known as
(7) Insert <u>Title</u> of Invention	(7) BENEFICIAL MICROORGANISM PROPAGATION-PROMOTING
	MATERIAL AND A PROCESS FOR PREPARING THE SAME
	for which the undersigned has (have) executed an application for patent in the United States of America
(8) Insert <u>Date</u> of Signing of Application	(8) onApril 22, 1999
concerning this application obtaining evidence and 3) The undersigned agrection with claims or produced 4) The undersigned agrection with claims or produced 4) The undersigned agreed 5) The undersigned he of the United States result said Assignee, as Assigned interest herein assigned, a 6) The undersigned he the power to insert on this	gree(s) to execute all papers necessary in connection with any interference which may be declared on or continuation or division thereof and to cooperate with the Assignee in every way possible d going forward with such interference. gree(s) to execute all papers and documents and perform any act which may be necessary in convisions of the International Convention for Protection of Industrial Property or similar agreements. gree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United
Date 04/22/1999	Signature of Inventor Moumoto (SEAL) Signature of Inventor (SEAL)
	Signature of Inventor (SEAL)
	Signature of Inventor (SEAL)
Consul if outside the U.	hould preferably be signed before: (a) a Notary Public if within the U.S.A. (b) a U.S. S.A. If neither, then it should be signed before at least two witnesses who also sign here:
Date	Witness
Data	Men.

DECLARATION AND POWER OF ATTORNEY

PATENT (U.S.A.) KODA & ANDROLIA ATTORNEY'S DOCKET NO.

As a below named inventor, I declare that: 211A 2828 PCT My residence, post office address and citizenship are stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled BENEFICIAL MICROORGANISM PROPAGATION-PROMOTING MATERIAL AND A PROCESS FOR PREPARING THE the specification of which is attached hereto unless the following box is checked: SAME $\boxed{2}$ was filed on 04/21/1999 as United States Application Number or PCT International Application Number 09/284,935(if applicable). and was amended OIL I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56. I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or Inventor's certificate. or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the appropriate line, any foreign application for patent or Inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed. PRIOR FOREIGN APPLICATION(S) DATE OF FILING COUNTRY APPLICATION NUMBER PRIORITY CLAIMED 21 1997 Japan 225296/1997 08 YES NO YES NO YES NO YES NO I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below. APPLICATION NUMBER I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

APPLICATION NUMBER	FILING DATE	STATUS - PATENTED, PENDING, ABANDONED
PCT/JP98/03719	08/21/1998	Pending

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) to prosecute this application and transact all business in the Patent and Trademark Office connected herewith.

WILLIAM L. ANDROLIA, REG. NO. 27,177; H. HENRY KODA, Reg. No. 27,729; ALEX CHARTOVE, Reg. No. 31,942.

SEND ALL CORRESPONDENCE TO: DIRECT TELEPHONE CALLS TO: KODA & ANDROLIA **KODA & ANDROLIA** 10100 SANTA MONICA BLVD., SUITE 2340 (310) 277-1391 LOS ANGELES, CALIFORNIA 90067

ž	Name of	FIRST NAME	LAST NAME	MIDDLE NAME	Flesidence CITY	STATE or COUNTRY
	Inventor	MINORU	TAKEBE		ТОКУО	JAPAN
	Post Office Address				CITIZENSHIP	
	6-2, 0	Otemachi 2-	chome, Chiyoda-ku,	Tokyo 100)-0004, Japan	Japan
ä	Name of	FIRST NAME	LAST NAME	MIDOLE NAME	Presidence CITY	STATE or COUNTRY
	· Inventor	MASAKAZU	MORIMOTO	•	TOKYO	JAPAN
	Post Office Address					CITIZENSHIP
	6-2, (Otemachi 2-c	chome, Chivoda-ku,	Tokyo 100)-0004, Japan	Japan
	Name of Inventor	FIRST NAME	LAST NATHE	MIDDLE NAME	Residence CITY	STATE or COUNTRY
異	Post Office Address					CITIZENSHIP
	Neme of	FIRST NAME	LAST NAME	MIDDLE NAME	I	
ž	Inventor	rinsi rome	D.G. WARE	MIDDLE RAME	Residence CITY	STATE or COUNTRY
	Post Office Address					CITIZENSHIP
	•				•	[

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements and the like may jeopardize the validity of the application or any patent issued thereon.

SIGNATURE OF INVENTOR 201	SIGNATURE OF INVENTOR 202 MMorimoli
April 22, 1999 SIGNATURE OF INVENTOR 203	April 22, 1999
STATE OF INVENTOR 203	SIGNATURE OF INVENTOR 204
DATE	DATE

OIPE (5)
MAR 2 6 2002

1600



211A 2828 PCT-CPA

PALENTHE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MINORU TAKEBE

Serial No: 09/284,935

Filed: May 3, 1999

For: BENEFICIAL MICROORGANISM

PROPAGATION-PROMOTING MATERIAL AND A PROCESS FOR

PREPARING THE SAME

Art Unit: 1651

Examiner: V. Afremova

RECEIVED

APR 0 5 2002

TECH CENTER 1600/2900

FIFTH REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Attached is a copy of the corrected official filing receipt received from the U.S. Patent Office in the above application for which issuance of a corrected filing receipt is respectfully requested. In particular, the filing date is once again incorrect. The correct filing date of the present application is **May 3, 1999.** As evidence thereof, Applicant attach the following documents:

- 1. Letter dated May 3, 1999 which was transmitted to the U.S. Patent Office via Express Mail No. EL375784614US enclosing the executed Declaration and Power of Attorney and Assignment documents, surcharge of \$130 for late filing of Declaration and Power of Attorney, Assignment recordation fee of \$40 and a returned postcard which has the USPTO mail stamp affixed with May 3, 1999 date;
- 2. Letter dated May 27, 1999 in response to the Notification of Missing Requirement of May 24, 1999 which indicates that the missing Declaration and Power of Attorney together with a \$130 surcharge were already transmitted to the U.S. Patent Office via Express Mail on May 3, 1999;
- 3. Letter dated August 10, 1999 which requested a correct 35 USC 102(e) date to be May 3, 1999;

- 4. Letter dated August 10, 1999 which requested a Corrected Filing Receipt with correct filing date of May 3, 1999 and title; and
- 5. Request dated October 23, 2001 which requested the Patent Office to correct the filing date and title with attachments that included items 1-4 listed above.

In view of the above, as the U.S. Patent Office was in possession of the executed Declaration and Power of Attorney on May 3, 1999, it is respectfully requested that the Patent Office accord the filing date of **May 3, 1999** to the above-identified application, correct its records and issue a corrected filing receipt..

Respectfully submitted, KODA&ANDROLIA

William L. Androlia Reg. No. 27,177

2029 Century Park East Suite 3850

Los Angeles, CA 90067-3024

Tel:

(310) 277-1391

Fax:

(310) 277-4118

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Assistant Commissioner for Patents

Washington D.C. 20231, on

March 14, 2002

Date of Deposit
William L. Androlia

Name

3/14/2002

Signature Date





GRP ART UNIT

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023

TOT CLAIMS

www.uspto.gov

APPLICATION NUMBER

-06/01/1999 °

FILING DATE

1651 1510

211A-2828-PC

FIL FEE REC'D ATTY.DOCKET.NO DRAWINGS

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09/284,935

KODA & ANDROLIA

SUITE 3850

2029 CENTURY PARK EAST

LOS ANGELES, CA 90067-3024

-06/01/1999 05/03/1999

RECEIVED

MAR - 5 2002

CONFIRMATION NO. 3005

CORRECTED FILING RECEIPT

OC000000007535112

KODA & ANDROLIA

Date Mailed: 02/26/2002

Receipt is acknowledged of a CPA in this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If y u received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

MINORU TAKEBE, TOKYO, JAPAN; MASAKAZU MORIMOTO, TOKYO, JAPAN;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP98/03719 08/21/1998

F reign Applications

JAPAN 225296/1997 08/21/1997

If Required, Foreign Filing License Granted 08/29/2001

CPA filed on: 04/17/2001

Projected Publication Date: Not Applicable

N n-Publication Request: No

Early Publication Request: No

Title

BENEFICIAL MICROORGANISM PROPAGATION-PROMOTING MATERIAL AND A PROCESS FOR PREPARING THE SAME





211A 2828 PCT NAKAO & ITO

Inventors: MINORU TAKEBE and MASAKAZU MORIMOTO

Serial No. 09/284,935

BENEFICIAL MICROORGANISM PROPAGATION-PROMOTING For: MATERIAL AND A PROCESS FOR PREPARING THE SAME

LETTER, MAILING CERTIFICATE, DECLARATION OF BUR OF ATTORNEY, ASSIGNMENT, RECORDATION FORM COVER SHEET, AND CHECKS FOR \$130.00 AND \$40.00. MAY 1 1 1999

Sent to PO: May 3, 1999 KODA & ANDROLIA

418 Rec'd PCT/PTO 03 MAY 1999

I hereby certify that this correspondence is being deposited with the United States Postal

Service as Express Mail No. EL375784614US addressed to:

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

on May 3, 1999 by Kimberly A. Quinlan

Dated: May 3, 1999

211A 2828 PCT

NAKAO & ITO

Inventors:

MINORU TAKEBE and MASAKAZU MORIMOTO

Internat'l App. No.

PCT/JP98/03719

For:

BENEFICIAL MICROORGANISM PROPAGATION-PROMOTING

MATERIAL AND A PROCESS FOR PREPARING THE SAME